



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

U.S. Application of:

Koujirou SEKINE, Mitsuru YOKOYAMA, Miyuki  
TERAMOTO, Kyu TAKADA, Takuji HATANO,  
Shinji MARUYAMA and Tsuyoshi IWAMOTO

For:

OPTICAL FUNCTIONAL DEVICE AND  
OPTICAL INTEGRATED DEVICE

Confirmation No.:

7378

U.S. Serial No.:

09/765,229

Filed:

January 18, 2001

Group Art Unit:

2874


Examiner:

Michael J. Stahl

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Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on	
March 18, 2003	Date of Deposit
Douglas A. Sorensen	Name of Applicant, Assignee, or Registered Representative
	Signature
March 18, 2003	Date of Signature

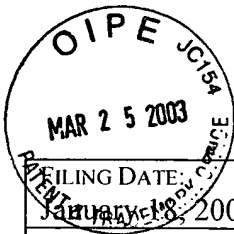
**AMENDMENT**

This Amendment is filed in response to the Office Action dated December 18, 2002 which provides for a response period ending March 18, 2003. This Amendment has been prepared in accordance with the Revised Amendment Format as set forth in the USPTO Pre-OG notice "Amendments in a Revised Format Now Permitted" of January 31 2003.

Please amend the above-identified application as follows:

04/01/2003 WABDELRI 00000033 181260 09765229  
01 FC:1201 84.00 CH

2374  
\$



# RESPONSE TRANSMITTAL AND FEE AUTHORIZATION

ATTORNEY DOCKET NO.: 15162/03060	SERIAL NO.: 09/765,229	
CONFIRMATION NO: 7378	EXAMINER: Michael J. Stahl	GROUP ART UNIT: 2874
INVENTOR(S): Koujirou SEKINE, et al.		
TITLE OF INVENTION: OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE		

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

TRANSMITTED HERewith FOR THE ABOVE IDENTIFIED  
PATENT APPLICATION IS:

- ☒ (A) A response to the Office Action dated: December 18, 2002
- ☐ (B) A Petition for Extension of Time  
☐ for 1 month ☐ for 2 months ☐ for 3 months;  
A Petition for Extension of Time, having been previously filed,  
☐ for 1 month ☐ for 2 months ☐ for 3 months
- ☐ (C) A request for approval of proposed drawing changes.
- ☐ (D) A Notice of Appeal. \$
- ☐ (E) An Appellant's Brief on Appeal. \$
- ☐ (F) Other: \$
- ☐ (G) A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27
- ☐ Small entity status under 37 CFR § 1.27 has been previously established
- ☒ The claims fee, if any, has been calculated as shown below

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March 18, 2003

Date of Deposit

Douglas A. Sorensen

Name of Applicant, Assignee, or Registered Representative

Signature

March 18, 2003

Date of Signature

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	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	20	MINUS	20	0
INDEP.	5	MINUS	4	1

FIRST PRESENTATION OF MULTIPLE DEP. CLAIM

## SMALL ENTITY

RATE	ADD'L FEE
x \$9	\$
x \$42	
+ \$140	
TOTAL ADD'L FEE	\$ 0.00

OR

## LARGE ENTITY

RATE	ADD'L FEE
x \$18	\$
x \$84	84.00
+ \$280	
TOTAL ADD'L FEE	\$ 84.00

- ☒ Please charge \$84.00 to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260, which includes
- ☒ the amount of \$84.00 for the claims fee calculated above AND/OR
- ☐ the amount of \$ for the fee for item(s) ☐ (B) ☐ (D) ☐ (E) ☐ (F), *supra*
- ☒ Please charge any additional fees (other than issue fee) required during the pendency of this application to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.
- ☒ A duplicate copy of this Response Transmittal and Fee Authorization is enclosed.

March 18, 2003

SIDLEY AUSTIN BROWN & WOOD LLP  
717 N. Harwood, Suite 3400  
Dallas, Texas 75201  
Main: (214) 981-3300  
Direct: (214) 981-43482  
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By:

Douglas A. Sorensen  
Attorney for Applicants  
Registration No. 31,570